

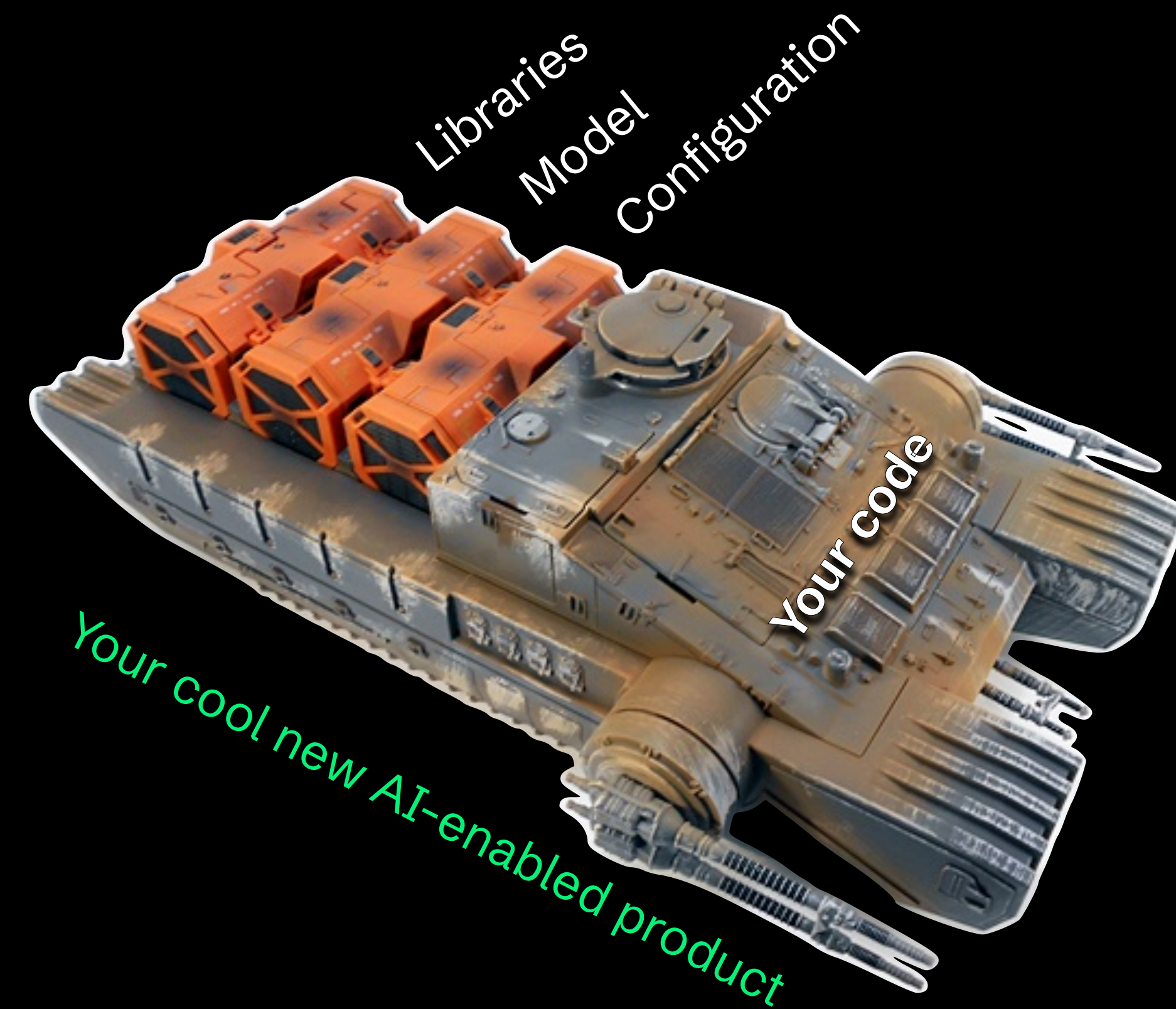
# What's In Your AI Code?

**ENDOR LABS**

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# What do we mean by “AI Code”?





# How dependencies work

Package Managers and Runtimes tend to operate **completely decoupled**, like ships passing in the night

1. Developer starts a new project that uses a couple of dependencies
2. Developer creates a **manifest** file to declare the two **direct** dependencies (requirements.txt, package.json, pom.xml, etc.)
3. Build system runs **package manager** and the direct dependencies bring along several other **transitive** dependencies
4. Package manager copies the files in a directory
5. **Runtime/compiler** loads dependencies as needed during execution







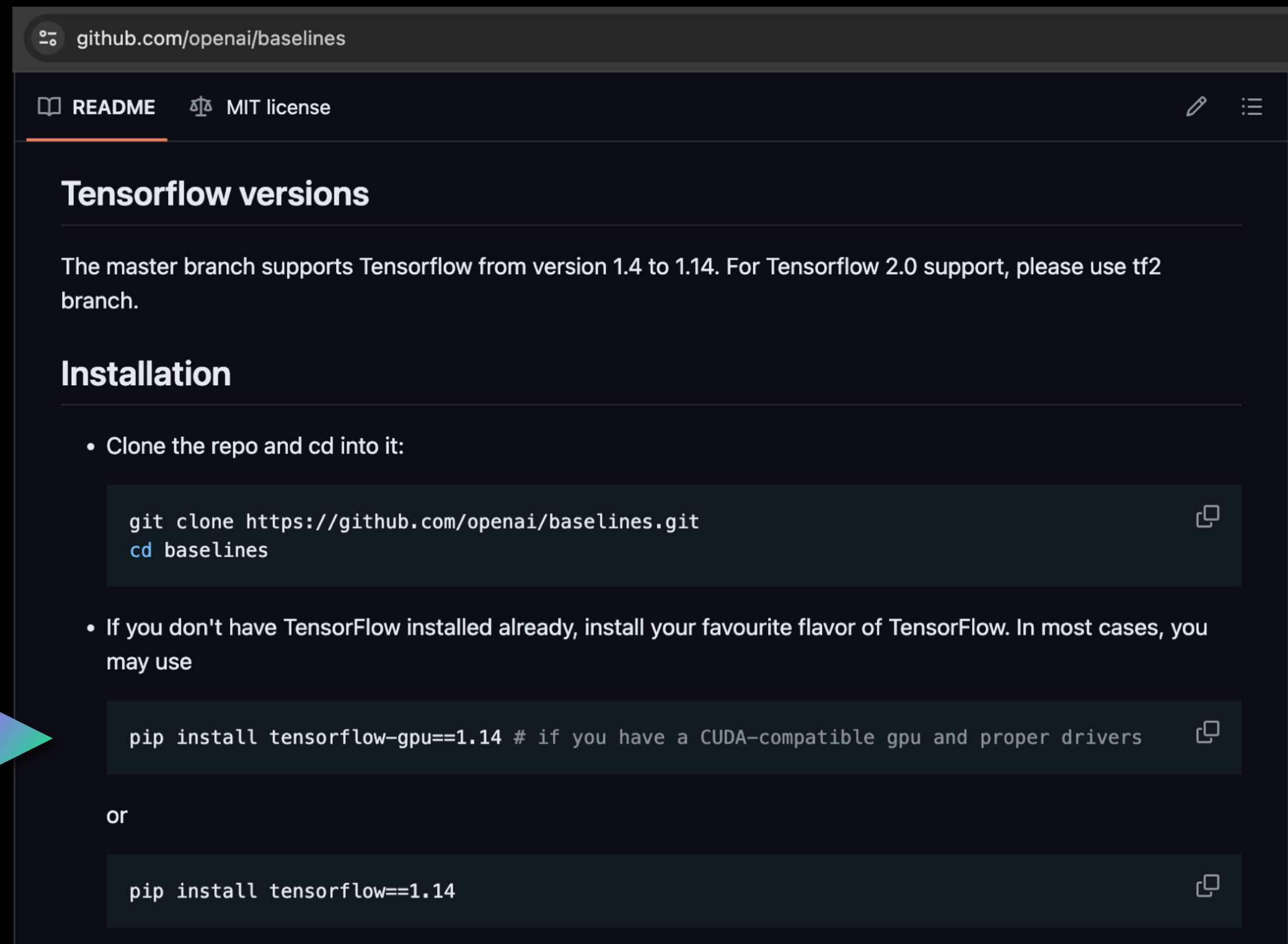
# Things get out of sync

## *It is unavoidable*

- Developers import **new dependencies** without updating the manifest file (possible in python, Javascript, scripts etc)
- In some cases dependencies are there **in the environment** (like global python or node packages)
- In some cases dependencies are **for testing/dev** (example: storybook in Javascript)
- In some cases dependencies are **removed from the code** but not from the package manager manifests



# Your manifest can lie



OpenAI's Baselines library

- “Just `pip install` a dep”
- Baselines *won't function without the right version*
- You'll never see it in a manifest or lock file
- SCA / dep tree tools (usually) won't see it



Models suggest this pattern often



huggingface.co/amazon/MistralLite

## How to Use MistralLite from Python Code (HuggingFace transformers)

**Important** - For an end-to-end example Jupyter notebook, please refer to [this link](#).

### Install the necessary packages

Requires: [transformers](#) 4.34.0 or later, [flash-attn](#) 2.3.1.post1 or later, and [accelerate](#) 0.23.0 or later.

```
pip install transformers==4.34.0
pip install flash-attn==2.3.1.post1 --no-build-isolation
pip install accelerate==0.23.0
```

### You can then try the following example code

```
from transformers import AutoModelForCausalLM, AutoTokenizer
import transformers
import torch

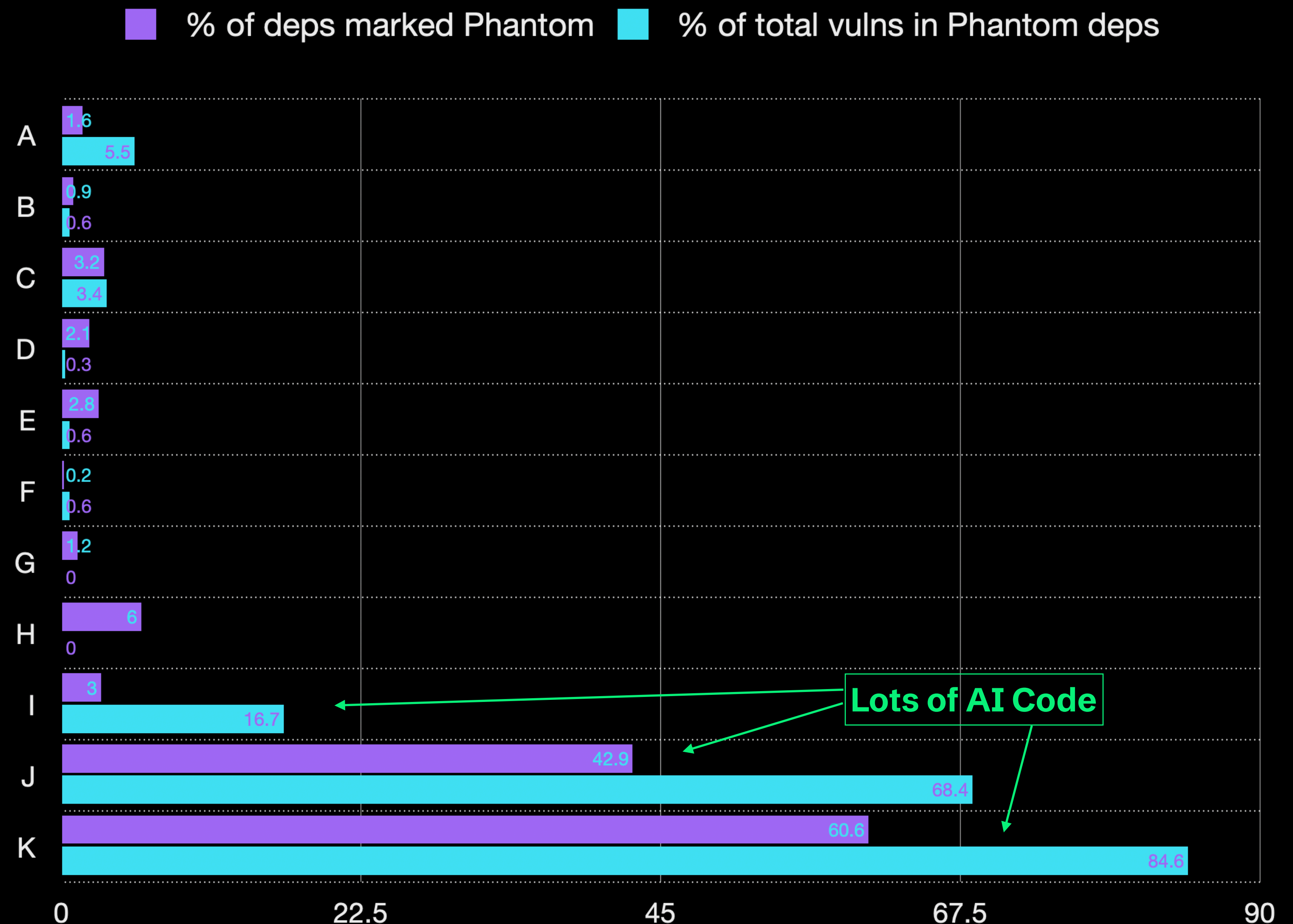
model_id = "amazon/MistralLite"

tokenizer = AutoTokenizer.from_pretrained(model_id)
```



# The Phantom Dependency Menace

- Dependencies that are either “provided” by the system are assumed to be downloaded manually
- Scripts, containers, and so on
- Often depend on the target platform
- Dependencies that are required for building an application that are not supposed to be used at runtime but are actually used
- Very common in NPM: see storybook for example



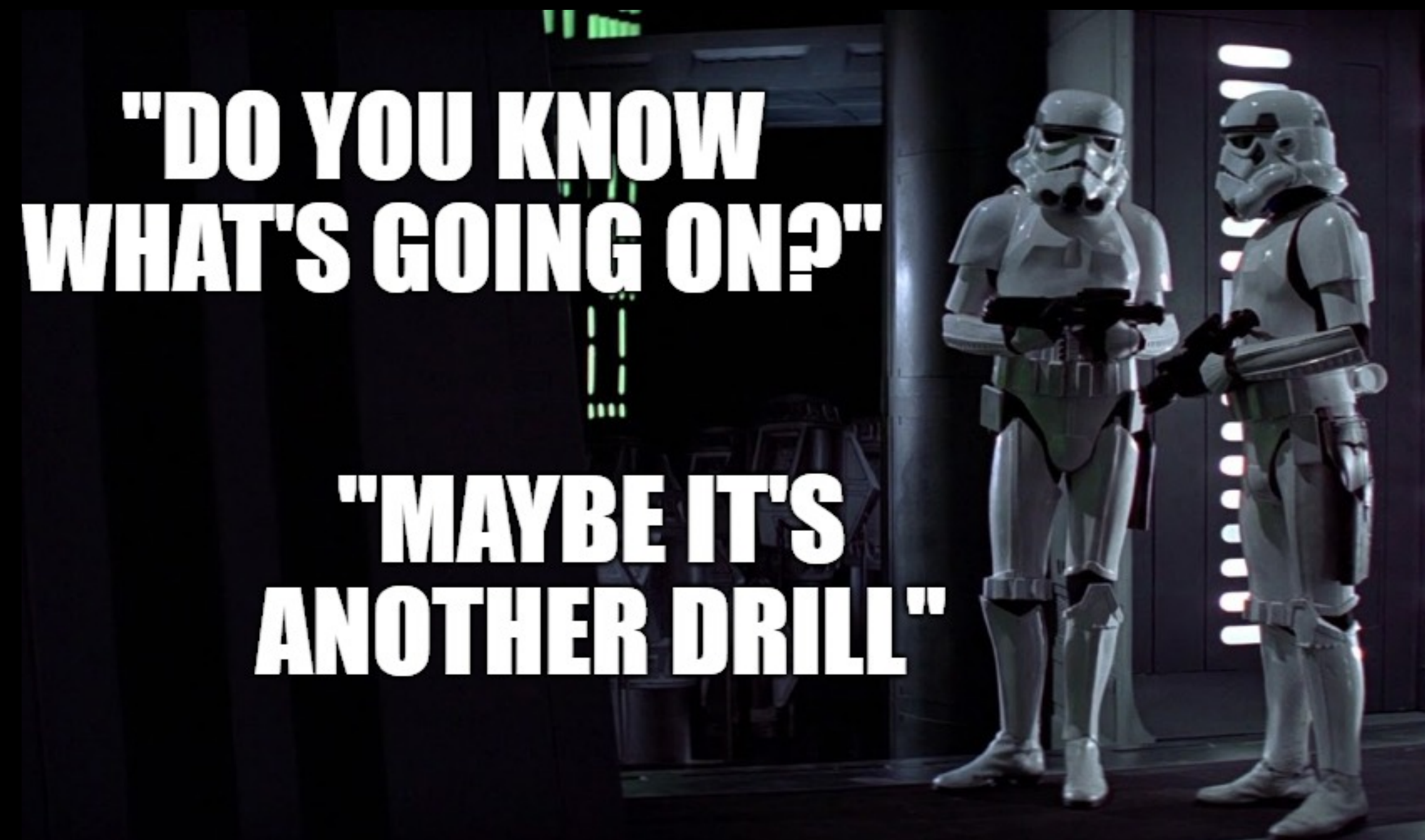




# Security Challenges

- False sense of security — tools can't see what's not in a manifest, so you miss risks that might be relevant
- Inaccurate compliance data — your SBOMs aren't reporting everything in use. Auditors are unhappy if they catch you
- Dev / prod differences — can't rely on the version I see in dev pipelines being the same thing that's in production





# Why are tools blind?

Many tools **trust the manifest** or lock files, and don't account for the ways those can lie

1. **Phantom** Dependencies (false negatives)
  - Brought by the system, runtime or other scripts
2. **Mis-used** dependencies (false negatives)
  - Dependencies brought as "test/dev" used in runtime
3. Direct use of transitives (unreliable fixing)
  - Dependencies brought in as transitives and used directly without knowledge
4. **Unused** dependencies (false positives and noise)
  - Dependencies brought in the manifest but not used by the code



# Program Analysis FTW

What matters is which packages the code **actually uses**

1. Source of truth is actually **the source code**
  - a. Analyze the code
  - b. Create an Abstract Syntax Tree
  - c. Analyze types and call flows
  - d. Create a **call graph**
2. Correlate the **dependencies used by the code** with the dependencies fetch by the package manager or available in the file system
3. Create a unified view





# Example: Python

## Use the source


1. Import dependency ► Call graph ► “Is it used?”
  - a. Repeat for all its dependencies (transitive)
2. Compare **dependency graph** with versions installed on system and defined in manifest
3. **Correlate** and unify results
  - a. Makes accurate **SBOM** and **VEX** possible





# The Shameless Pitch

- Accurately identify **all dependencies in use**, even if they're "phantom"
- Provide clear **mapping and pathway** data
  - What uses it?
  - Directly vs. Transitively?
- Find out when transitive deps are being directly used
- **Avoid the noise** to devs by knowing whether a risk is actually along a call path



tensorflow

2.16.1

View Details

OVERVIEW

SCORES

FINDINGS

C

0

H

0

M

0

L

0

DEPENDENCIES

0

LICENSES

N/A

PHANTOM DEPENDENCY

Yes

RESOLUTION TIME

Jun 22, 2024

Dependency Path

< 1 of 1 paths >

openai/baselines

↓

pypi://baselines@0.1.6

↓

pypi://tensorflow@2.16.1

Dependency Specification

DEPENDENT PACKAGE

baselines

RESOLVED VERSION

2.16.1

All Projects

openai/baselines@master

Rescan Project

Raw Data

Export SBOM

Findings

C 0 H 0 M 38 L 0

Scanned By

CLI

Repository

https://github.com/openai/baselines

OVERVIEW

FINDINGS 38

PACKAGES 1

DEPENDENCIES 36

TOOLS 0

PR RUN

Packages 36

CI Workflows

BETA

tensorflow

Ecosystem

Showing 1 of 36 dependencies

Dependency	Requested Version	Type	Dependent Packages	Reachable	Visibility
<div>tensorflow</div> <div>@2.16.1</div> <div>Phantom</div>	N/A	Direct	1	✓	⊕



# Greetz and Thanks

Dimitri Stiladis, Henrik Plate

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models, reviews

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Many, many more...